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# Statistical Evaluation of the B-Splines Approximation of 3D Point Clouds

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## 3D point cloud based monitoring of a masonry arch bridge



- Aim: Experimental investigations of the structural behaviour of the bridge by means of load testing

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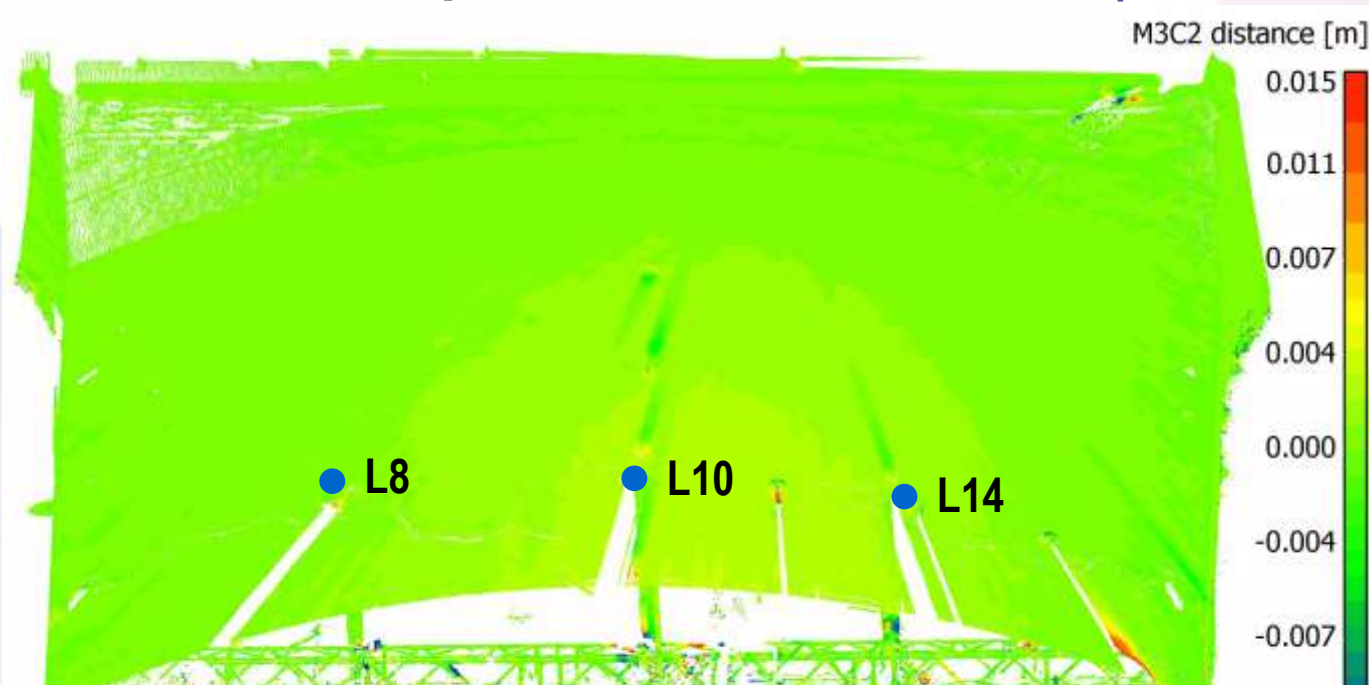
## Data acquisition by means of laser scanner Z+F Imager 5006



- Epoch-wise 3D point cloud of the bottom side of the arch
- Data acquisition time per epoch approx. 7 minutes
- Evaluation in post-processing with Zoller+Fröhlich (Z+F) LaserControl, Scantra (technet GmbH), CloudCompare ([www.danielgm.net/cc/](http://www.danielgm.net/cc/))



## 3D point cloud to 3D point cloud differences (vertical comp.)



3D-pointclouds can be approximated by free-form-curves and surfaces, e.g. **B-splines**, in a robust way, so that deformations can be identified on the basis of the budget of uncertainty, even though data gaps and outliers can occur

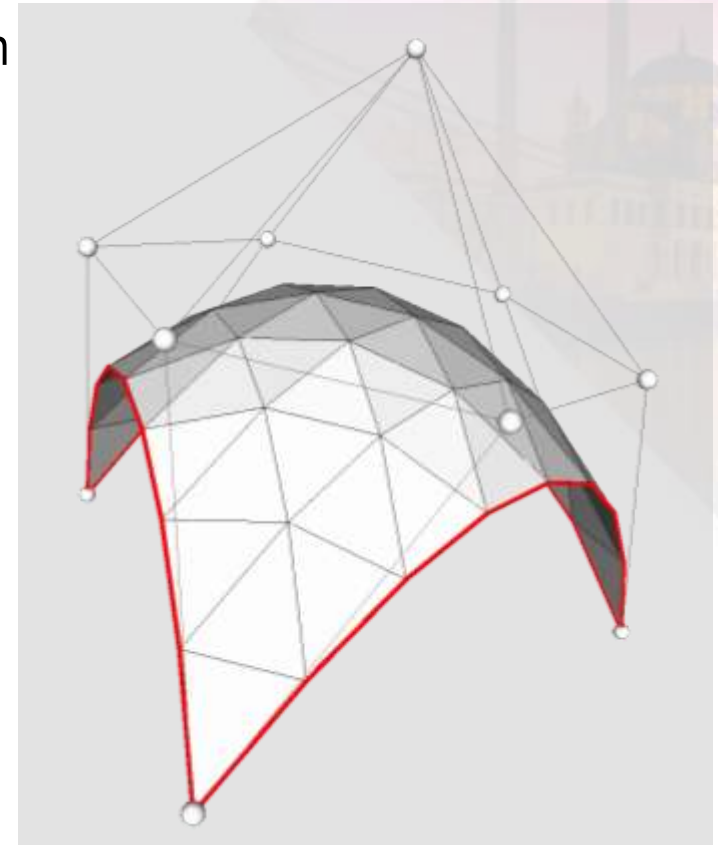
## Math. Basics - Parametric surface approximation: B-Spline

- Functional relation: piecewise polynomial function

$$S(\bar{u}, \bar{v}) = [x(\bar{u}, \bar{v}), y(\bar{u}, \bar{v}), z(\bar{u}, \bar{v})]^T$$

$$= \sum_{i=0}^n \sum_{j=0}^l N_{i,p}(\bar{u}) N_{j,q}(\bar{v}) \mathbf{x}_{i,j} \text{ with } \mathbf{x}_{i,j} = [x_{i,j}, y_{i,j}, z_{i,j}]$$

- Surface point:  $\mathbf{S}(\bar{u}, \bar{v})$
- Basis functions:  $N_{i,p}(\bar{u}), N_{j,q}(\bar{v})$
- Control point:  $\mathbf{x}_{i,j}$
- Location parameters:  $\bar{u}, \bar{v}$



## Math. Basics - Steps to approximate a point cloud

1. **Model selection:** choose degree of basis functions  $p$  and  $q$  as well as number of control points  $n+1$  and  $l+1$
2. **Parametrization:** determination of location parameters  $\bar{u}$  and  $\bar{v}$
3. **Knot vector determination:** determination of knot vectors  $U, V$
4. **Control point estimation:** estimation of control point net as parameters in a Gauss-Markov-model

Aim: Significance testing of different non-nested B-Spline approximations under variation of the number of control points and using different knot vector determination techniques

## Testing non-nested regression models

### Vuong test

1. Run model I, saving the individual log-likelihoods
2. Run model II, saving the individual log-likelihoods
3. Schwarz Adjustment/Correction
4. Compute the test statistic based on differences (see paper)
5. Compute the  $\alpha/2$  and  $1 - \alpha/2$  quantiles of the  $N(0,1)$

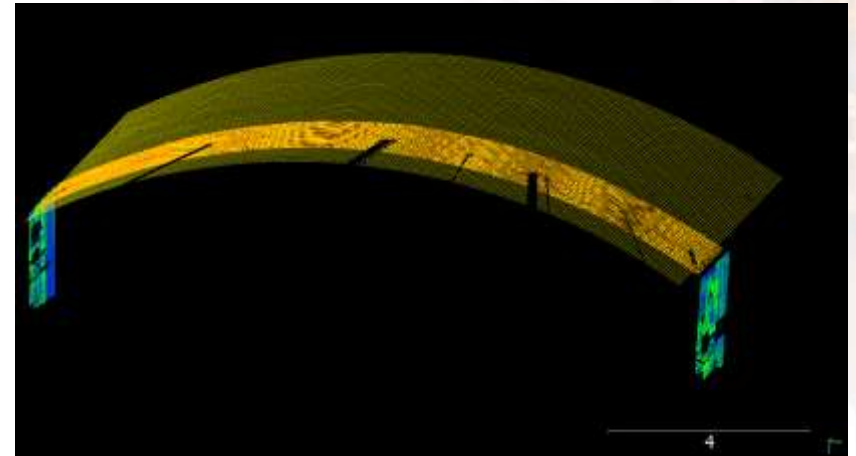
### Clarke test

1. Run model I, saving the individual log-likelihoods
2. Run model II, saving the individual log-likelihoods
3. Schwarz Adjustment/Correction
4. Compute the test statistic based on differences and **count the number of positive and negative values**
5. The number of positive values is binomially distributed



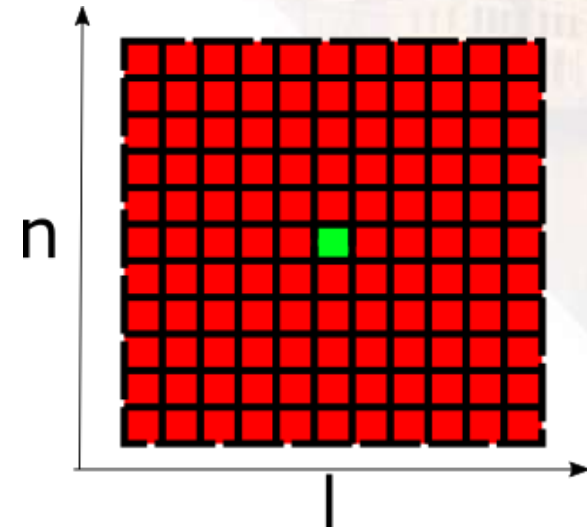
## Case Study: Approximation of an arch bridge

- Section of the bridge arch without multiple changes of curvature
- Gridding the point clouds
  - x-expansion: 9.00 m
    - 400 cells  $\rightarrow$  2.3 cm
  - y-expansion: 14.00 m
    - 600 cells  $\rightarrow$  2.3 cm
- Model Selection
  - Degree of the basis functions:  $p=3$  and  $q=3$
  - Number of control points
    - Variation of number of control points of 4 to 40 in both directions
    - Variation of knot vector determination technique



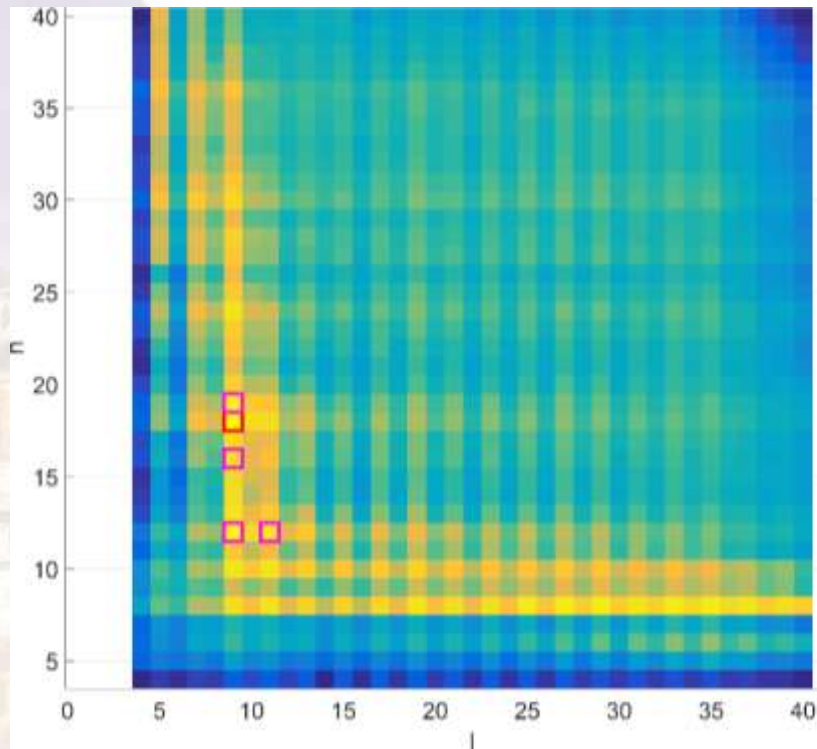
## Test strategy

- Use Vuong's and Clarke's test to select the best B-spline model from 1369 possible combinations using two different knot vector determination methods
- Every model should be tested against all other models
  - ➔ 2 million comparisons
- Developing a test strategy: competing models are only chosen from neighbourhood (5 rows and columns)
- Allocating score value for every model:
  - by +1 if this model is better than another model and by -1 if worse, otherwise 0
  - normalizing the score value
- Selecting the model with the highest scoring and test it against all other models

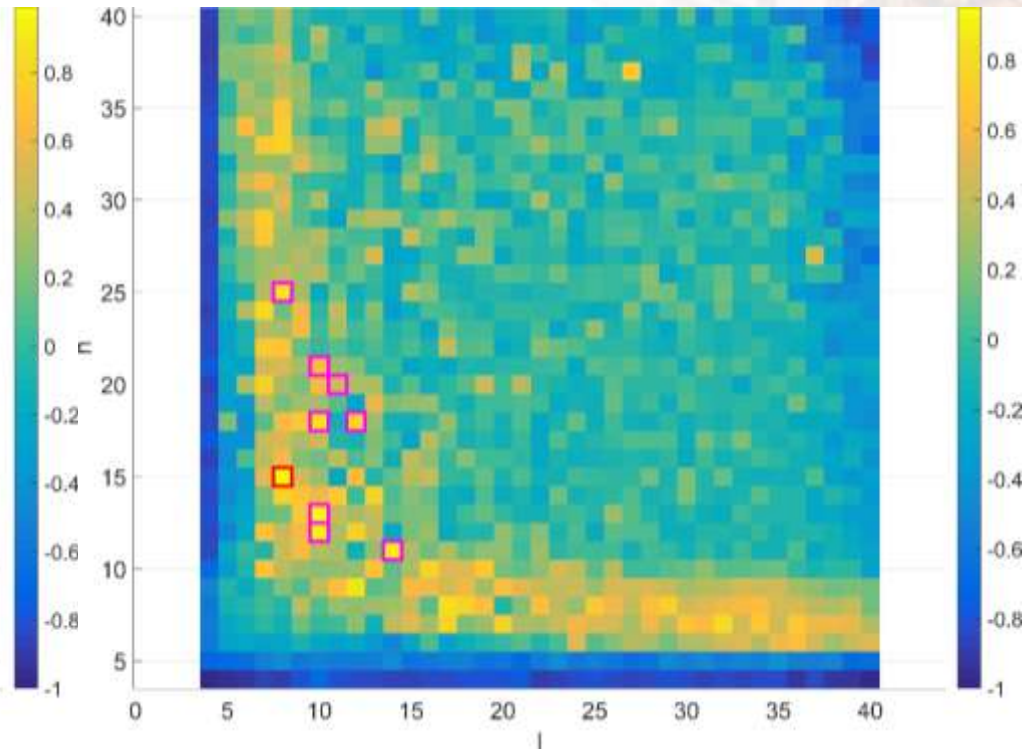


## Results for Vuong's test for two different knot vector determination techniques

Knot placement technique



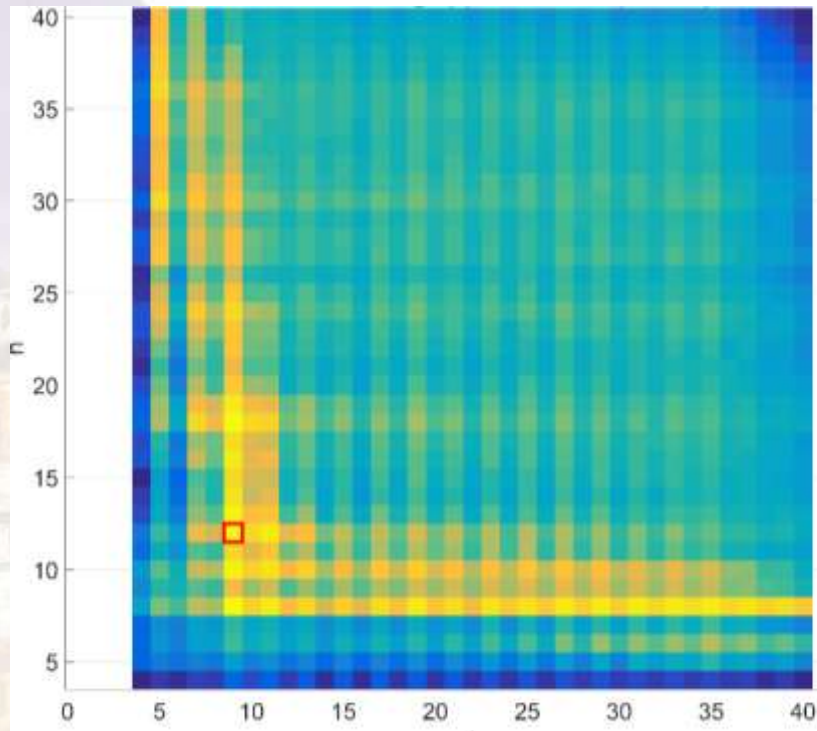
Monte Carlo technique



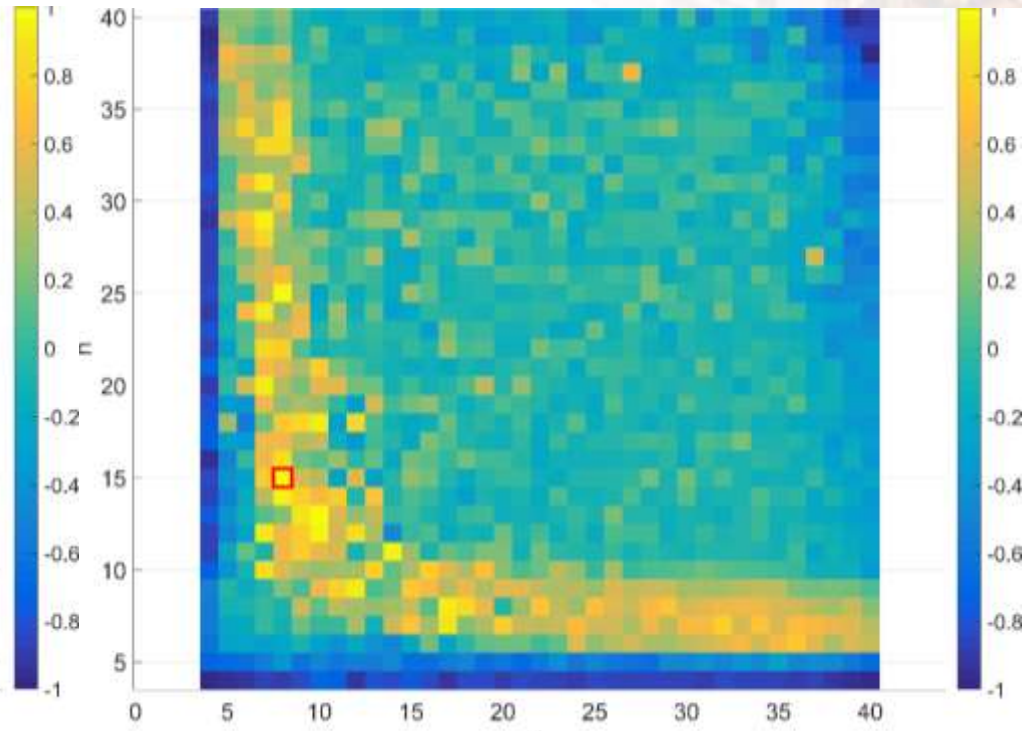


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	Knot placement technique		Monte Carlo technique	
	n	l	n	l
AIC	39	27	37	27
BIC	12	9	15	8
Vuong	<b>18</b>	<b>9</b>	<b>15</b>	<b>8</b>
	19	9	25	8
	16	9	21	10
	12	9	20	11
	12	11	18	10
			18	12
			13	10
			12	10
			11	14
Clarke	<b>12</b>	<b>9</b>	<b>15</b>	<b>8</b>

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## Summary

- Using Vuong's and Clarke's tests for (non-nested) model selection in B-spline surface approximation
- These tests can detect **significant** model differences, in contrast to information criteria
- Both tests are based on likelihood-ratio and use Kullback-Leibler information criterion
- In many cases the Vuong test was not able to identify the best B-Spline model
- The Clarke's test approach, in contrast, can successfully identify one model over all other competing models